

Administration and Regulation Appropriations Subcommittee Questions

Iowa Insurance Division Responses 1-28-13

1. How many employees do you have? What's the five year trend for staffing levels?

We currently have 102.5 FTEs. For the previous 4 fiscal years beginning with FY12, we had 106.5, 107, 102, and 102.

2. How are the above employees classified? How many are exempt, non-exempt and collective bargaining?

Currently, we have 2 exempt, 14 nonexempt, and 86.5 collective bargaining FTEs.

3. How many of your FTE's are federal funded?

We have 6.5 that are federal funded in our Senior Health Insurance Information Program ("SHIIP") Unit, and 2 (unfilled) are in an Insurance Exchange Unit.

4. How many Federal grants does your agency receive and what's the matching rate? What's the future matching rate anticipated to be? How much Federal funding?

Federal grants fund our SHIIP Unit, \$910,363 for FY13. The state match is to fund 2 FTEs for which the cost is \$177,491. The future matching rate is expected to stay the same. Pursuant to an MOU with IDPH, we have \$96,988 of federal funds to work on an insurance exchange. There is no match requirement.

5. How many early retirements were taken?

Seven.

6. Of those openings, how many have been filled?

Six have been filled (includes two downgrades and two filled at entry level)

7. What are your ideas make service delivery in your Department more efficient?

We continue to shift all major services to electronic filing. The last major service that we have not completed is for all securities registrations to be filed electronically (now at 70%).

8. Share the progress of your Department's "Lean" projects.

We have no lean projects.

9. How much of your revenue comes from other state agencies?

None.

10. How much of your revenue comes from fees?

Of approximately \$29.4MM in revenues, \$25.4MM is from fees.

11. What has been your five year budget trend?

FY13 = \$4,983,244 (+ we have a onetime \$500,000 appropriation to move our offices)

FY12 = \$4,983,244

FY11 = \$5,116,533 (includes onetime \$147,000 for an insurance information exchange + one new FTE and \$55,000 for long term care work)

FY10 = \$4,928,244

FY09 = \$5,019,116 (included onetime \$78,800 for health insurance oversight)

12. Regarding current FY 13, how does your current budget situation look? Do you expect any savings?

We will spend slightly less than our appropriation due to vacancies (a couple retirements and one transfer to another agency)

13. Have there been any recent reclassifications of individuals in your department? How many and what were the changes?

Insurance Claims Investigator to Compliance Officer 1

Field Auditor to Compliance Officer 1

Actuary A.S.A. to Actuary Sr.

Insurance Claims Investigator to Insurance Program Specialist

Executive Officer 3 to PSE 4

14. In regard to monetary bonuses, how do you measure employee outcomes?

We paid no bonuses last year.